



In re Application of: Philip O. Livingston and Friedhelm Helling

Serial No.: 08/196,154

Filed: November 16, 1995

For: GANGLIOSIDE-KLH CONJUGATE VACCINE PLUS QS-21

MAILED
December 13, 1996
JAN 6 3 1997

HONORABLE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

GROUP 1800

S I R:

Transmitted herewith is an amendment to the above-identified application.

X Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement previously submitted.

a verified statement to establish small entity status under 37 C.F.R. §1.9 and §1.27 is enclosed.

X No additional fee is required, except for a \$465.00 fee for a three-month extension of time.

The filing fee is calculated as follows:

| | NUMBER AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | | NUMBER OF EXTRA CLAIMS PRESENTED | | RATE | | | FEE | |
|---|------------------------|---|------------------------------------|---|----------------------------------|---|----------------------------|--------------|---|--------------|--------------|
| | | | | | | | SMALL ENTITY | OTHER ENTITY | | SMALL ENTITY | OTHER ENTITY |
| Total Claims | 25 | - | * 23 | = | *** 2 | X | 11 | 22 | = | 22 | |
| Independent Claims | 3 | - | ** 3 | = | *** 0 | X | 40 | 80 | = | 0 | |
| Multiple Dependent Claim (s) Presented <u> </u> Yes <u> X </u> No For First Time: | | | | | | | 130 | 260 | | 0 | |
| | | | | | | | TOTAL ADDITIONAL FEE \$ 22 | | | | |

*If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 20, write "20" in this space.

**If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 3, write "3" in this space.

***If the difference between the "NUMBER AFTER AMENDMENT" and the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than "0", write "0" in the space.

Philip O. Livingston and Friedhelm Helling
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Amendment Transmittal Letter
Page Two

The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest of the "NUMBER AFTER AMENDMENT" in any prior amendment or the number of claims as originally filed.

X Please charge Deposit Account No. 03-3125
in the amount of \$ 22.00.

X A check in the amount of \$ 465.00 is enclosed.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-3125. Three copies of this sheet are enclosed.

X Any filing fees under 37 C.F.R. §1.16 for the presentation of extra claims.

X Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

Albert Wai Kit Chan

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Albert Wai Kit Chan 12/13/96
Albert Wai-Kit Chan Date
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants : Philip O. Livingston and Friedhelm Helling
U.S. Serial No.: 08/196,154 Group Unit: 1806
Filed : November 16, 1995 Examiner: A. Caputo
For : GANGLIOSIDE-KLH CONJUGATE VACCINES, QS-21

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1185 Avenue of the Americas
New York, New York 10036
December 13, 1996

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

AMENDMENT IN RESPONSE TO JUNE 13, 1996 OFFICE ACTION,
PETITION FOR THREE-MONTH EXTENSION OF TIME

This Amendment is submitted in response to the Office Action issued by the United States Patent and Trademark Office on June 13, 1996 in connection with the above-identified application. A response to the June 13, 1996 Office Action was originally due September 13, 1996. Applicants hereby respectfully request a three-month extension of time. The required fee for a three-month extension of time for a small entity is FOUR HUNDRED SIXTY-FIVE DOLLARS (\$465.00). Applicants have previously established small entity status and it is still applicable. A response to the June 13, 1996 Office Action is now due December 13, 1996. Accordingly, this Amendment is being timely filed.

Please amend the subject application as follows:

In the specification:

On page 5, line 6, please delete "(A)" and insert --(lane A)--.

On page 5, line 7, please delete "(B)" and insert --(lane B)--.

On page 5, line 10, please delete "(a)" and insert --(Figure

2A) --.

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